



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 750
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/825,051	04/03/2001	Kristopher P. Braud	PHNS-0003	2009
27964	7590	04/23/2007		
HITT GAINES P.C. P.O. BOX 832570 RICHARDSON, TX 75083			EXAMINER TO. BAOQUOC N	
			ART UNIT	PAPER NUMBER
			2162	

SHORTENED STATUTORY PERIOD OF RESPONSE	NOTIFICATION DATE	DELIVERY MODE
3 MONTHS	04/23/2007	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Notice of this Office communication was sent electronically on the above-indicated "Notification Date" and has a shortened statutory period for reply of 3 MONTHS from 04/23/2007.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@hittgaines.com

Office Action Summary	Application No. 09/825,051	Applicant(s) BRAUD ET AL.	
	Examiner Baoquoc N. To	Art Unit 2162	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 26 January 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-52 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-52 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1 and 21 are amended in the amendment filed on 01/26/2007. Claims 1-52 are pending in this application.

Response to Arguments

2. Applicant's arguments with respect to claims 1 and 21 have been considered but are moot in view of the new ground(s) of rejection.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

Art Unit: 2162

consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

3. Claims 1-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Swanson et al. (US. Patent No. 6,112,183) in view of Balakrishman et la. (US. Patent No. 6,763,382 B1).

With respect to claims 1 and 21 Swanson teaches a data processing system implemented method for managing data of an enterprise network that includes a plurality of ancillary system and an enterprise data processing system having an enterprise database, comprising:

receiving a request at the enterprise data processing system for a value of a data item (the client request) (col. 5, lines 5-10);

identifying an ancillary system of the plurality of ancillary system associated with the requested data item (the client stub 60 locates the appropriate server to handle the request) (col. 6, lines 38-46), wherein data for the value is stored in the identified ancillary system (request for col. 6, lines 20-25) and enterprise data processing system (server) (col. 7, line 1);

processing the data into the value for the data item (col. 6, lines 63-65); and

returning the requested value for the data item (the client stub 60 unpacks the output argument and returns them to the client application) (col. 6, lines 63-65).

Swanson does not explicitly teach determining whether accessing multiple entries of the data stored in the identified ancillary system is required before processing

Art Unit: 2162

the data into the value; retrieving the data from the enterprise data processing system when determining accessing multiple entries is required; retrieving the data from the identified ancillary system when determining accessing multiple entries is not required. However, Swanson teaches "a server can make requests to other servers, making the process both a server and client process. Generally, client stubs 60 are responsible for locating a server to handle the request packing...." (col. 7, lines 1-14). In addition, Swanson also discloses "though the client request is generated in one computer system 12 or subsystem 26-50 and the requested information lies within another computer system 12 or subsystem 26-50..." (col. 5, lines 8-13). Lastly, Swanson discloses "for example, request 64 for member enrollment information may be made by program 54 in the benefit subsystem 30. The benefit subsystem 30 is the client the enrollment subsystem 26 processes benefit subsystem's 30 client request for member enrollment information and return the information to benefit subsystem 30 appended to server stubs 62" (col. 6, lines 21-31). The above disclosure from Swanson explains to the issue of whether or not the to direct the request to the subsystem or to process the request at the receiving server (enterprise processing system) due to processing entries. On the other hand, Balakrishnan further discloses determining whether accessing multiple entries of the data stored in the ancillary system is required before processing the data into the value; retrieving the data from the data processing system when determining accessing multiple entries is required; retrieving the data from the ancillary system when determining accessing multiple entries is not required (as to the retrieval method comprises the steps of: (a) receiving a request from a end-user system

Art Unit: 2162

for the data file; (b) determining whether one or more data pages currently references by the request are available in the cache buffer in the local host system; and if so (1) transmitting one or more available data pages from the cache buffer to the end user system, otherwise (2) retrieving the references pages from the remote host system to the local via the first communication link, (3) storing the referenced pages in the cache buffer in the local host system, (4) transmitting the referenced pages from the local host system to the end-user system via the second communication" (col. 4, lines 43-54).

Balakrishnan discloses the concept of getting the data from the host first, when if not available, retrieving data from the remote system wherein the host is the ancillary system and the remote is the enterprise system. Therefore, it would have been obvious to one ordinary skill in the art at the time of the invention was made to modify Swanson system to include getting the data from the host first, when if not available, retrieving data from the remote system as taught by Balakrishnan in order to allow the data to be retrieved regardless of the where and how the current status of the data.

As to claims 2, 22, and 42, Swanson further discloses identifying all data updated in the ancillary system since a last block transfer of data to the enterprise database; requesting a block transfer of updated data from the ancillary system; and copying the block of updated data to the enterprise database (col. 5, lines 1-5).

As to claims 3, 23, and 43, Swanson further discloses wherein processing the retrieved data into the value for the data item is performed subsequent to copying and prior to receiving the request (col. 7, lines 8-14).

Art Unit: 2162

As to claims 4, 24, and 44, Swanson further discloses wherein processing the retrieved data into the value further comprises aggregating the data into a value for the data item (col. 6, lines 5-10).

As to claims 5, 25, and 45, Swanson further discloses wherein the data stored in the ancillary system is more current than the data stored in the enterprise data processing system (col. 8, lines 1-5).

As to claims 6, 26, and 46, Swanson further discloses the enterprise data processing system supports queries of the ancillary system (col. 4, lines 1-3); the ancillary system stores the data in relational database (col. 4, lines 1-3); the ancillary system stores the data in a database structure having a proprietary format (col. 4, lines 1-3); and a format of the data stored in the ancillary system (col. 4, lines 1-3).

As to claims 7, 27, and 47, Swanson further discloses attempting to contact the ancillary system (col. 5, lines 1-5); querying the ancillary system for the data (col. 5, lines 60-65); and receiving the data from the ancillary system (value) (col. 7, lines 5-14).

As to claims 8 and 28, Swanson further discloses attempting to contact the ancillary system based on the data stored in the ancillary system being accessible for real-time processing into the value (col. 5, lines 5-10); and receiving the data from the enterprise data system based on the ancillary system being unresponsive, (col. 5, lines 5-10).

As to claims 9, 11, 29, and 31 recite similar limitations as discussed in claims 1 and 21; therefore, claims 9 and 29 are also rejected for the same reasons as given in claims 1 and 21.

As to claims 10 and 30, Swanson further discloses catching a message, wherein the message was generated by an ancillary system using a set of content rules and the message conforms to a message standard; opening the message; identifying the ancillary system based on the message, (col. 58, lines 38-42); accessing content conversion rules based on the identity of the ancillary system, (col. 48, lines 19-24); converting content from the message to enterprise information using the content conversion rules, (col. 48, lines 19-24); and storing the enterprise information in the enterprise data processing system (col. 58, lines 27-28).

As to claims 12, 32, and 50, Swanson further discloses wherein the data item is a line item in a document (col. 7, lines 40-50).

As to claims 13 and 33, Swanson further discloses the enterprise database is updated with data from the ancillary system without employing automatic event trigger data transfer (col. 7, lines 8-14).

As to claims 14 and 34, Swanson further discloses calling a security model for requestor security information (col. 6, lines 54-55); receiving the requestor security information from the security model (col. 6, lines 54-55); and accessing a security key related to the requested data item based on the requestor security information (col. 6, lines 54-55).

As to claims 15 and 35, Swanson further discloses determining whether the data item relates to employee information or financial information; accessing management organizational information; and determining whether to return the requested data item

Art Unit: 2162

value based on the requestor having access to the employee information (col. 8, lines 1-5).

As to claims 16 and 36, Swanson further discloses prior to calling a security model for requestor security information, determining whether the data item relates to employee information or financial information (col. 7, lines 31-37); and determining whether to return the requested data item value based on the security key (col. 7, lines 31-37).

As to claims 17 and 37, Swanson further discloses monitoring a clock for a predetermined time interval (col. 8, lines 1-5).

As to claims 18 and 38, Swanson further discloses receiving a second request for the value of a second data item (col. 6, lines 50-55); identifying an auxiliary datastore associated with the second data item (col. 6, lines 50-55); and retrieving the value for the data item from the auxiliary datastore (col. 7, lines 5-15).

As to claims 19 and 39, Swanson further discloses identifying an ancillary system related to the auxiliary datastore (col. 5, lines 1-5); identifying all data updated in the ancillary system since a last block transfer of data to the auxiliary datastore (col. 5, lines 1-10); requesting a block transfer of updated data from the ancillary system (col. 5, lines 1-10); and copying the block of updated data to the auxiliary datastore (col. 5, lines 1-10).

As to claims 20 and 40, recite similar limitations as discussed in claims 2, 22, and 42; therefore, claims 20 and 40 are also rejected for the same reasons as given in claims 2, 22, and 42.

With respect to claim 41 recites similar limitations as discussed in claims 1 and 2, Swanson also teaches ancillary system access rules (col. 7, lines 32-37).

As to claim 48, Swanson further discloses wherein the enterprise is a healthcare provider (col. 7, lines 39-53).

As to claim 49, Swanson further discloses an automated interface for catching message and redirecting the messages to the ancillary system data transfer mechanism (col. 5, lines 1-5).

As to claims 51 and 52, Swanson teaches the method recited in claim 10, wherein the caught message was generated spontaneously by the message-generating ancillary system (col. 58, lines 38-42).

Conclusion

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

Art Unit: 2162

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Contact Information

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Baoquoc N. To whose telephone number is at 571-272-4041 or via e-mail BaoquocN.To@uspto.gov. The examiner can normally be reached on Monday-Friday: 8:00 AM – 4:30 PM, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene can be reached at 571-272-4107.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks
Washington, D.C. 20231.

The fax numbers for the organization where this application or proceeding is assigned are as follow:

(571) –273-8300 [Official Communication]

BQ To

April 11th, 2007

